

# TD Ameritrade Trust Company Privacy Statement

A guide to how we use and protect client information.

## FACTS

### WHAT DOES TD AMERITRADE TRUST COMPANY DO WITH YOUR PERSONAL INFORMATION?

<b>Why?</b>	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
<b>What?</b>	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> <li>■ Social Security number and financial account numbers</li> <li>■ Account balances and transaction information</li> <li>■ Income and employment information</li> </ul>
<b>How?</b>	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons TD Ameritrade Trust Company chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does TD Ameritrade Trust Company share?	Can you limit this sharing?
<b>For our everyday business purposes—</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
<b>For our marketing purposes—</b> to offer our products and services to you	Yes	No
<b>For joint marketing with other financial companies</b>	No	We do not share
<b>For our affiliates' everyday business purposes—</b> information about your transactions and experiences	Yes	No
<b>For our affiliates' everyday business purposes—</b> information about your creditworthiness	No	We do not share
<b>For our affiliates to market to you</b>	Yes	Yes
<b>For nonaffiliates to market to you</b>	No	We do not share

<b>To limit our sharing</b>	<ul style="list-style-type: none"> <li>■ Call 855-759-5629 and a client services representative will assist you or</li> <li>■ Send us an email at <a href="mailto:tdarprocontact@TDAmeritrade.com">tdarprocontact@TDAmeritrade.com</a> with "opt out" in the subject line.</li> </ul> <p><b>Please note:</b></p> <p>If you are a new customer, we can begin sharing your information 30 days from the date we present this notice. When you are no longer our customer, we continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing.</p>
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<b>Questions?</b>	Call 855-759-5629
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Who we are	
<b>Who is providing this notice?</b>	TD Ameritrade Trust Company and the TD Ameritrade family of divisions and companies, including TD Ameritrade, Inc., TD Ameritrade Institutional, and TD Ameritrade Investment Management. TD Ameritrade Holding Corporation is a wholly owned subsidiary of The Charles Schwab Corporation.
What we do	
<b>How does TD Ameritrade Trust Company protect my personal information?</b>	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
<b>How does TD Ameritrade Trust Company collect my personal information?</b>	Your employer provides TD Ameritrade Trust Company your basic employee information to facilitate the servicing of their retirement plan. We may collect additional information from you during your use of the participant website and tools.
<b>Why can't I limit all sharing?</b>	<p>Federal law gives you the right to limit only:</p> <ul style="list-style-type: none"> <li>■ Sharing for affiliates' everyday business purposes—information about your creditworthiness</li> <li>■ Affiliates from using your information to market to you</li> <li>■ Sharing for nonaffiliates to market to you</li> </ul> <p>State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.</p>
<b>What happens when I limit sharing for an account I hold jointly with someone else?</b>	Your choices will apply to everyone on your account.
Definitions	
<b>Affiliates</b>	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> <li>■ Our affiliates include companies with a TD Ameritrade or Charles Schwab name, and financial companies such as TradeWise Advisors, Inc.</li> </ul>
<b>Nonaffiliates</b>	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> <li>■ TD Ameritrade does not share with nonaffiliates.</li> </ul>
<b>Joint marketing</b>	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> <li>■ TD Ameritrade doesn't jointly market.</li> </ul>

Other important information	
<p><b>For Nevada Residents.</b> We are providing you this notice pursuant to state law. You may be placed on our internal Do Not Call List by calling 855-759-5629. Nevada law requires that we also provide you with the following contact information: Bureau of Consumer Protection, Office of the Nevada Attorney General, 555 E. Washington St., Suite 3900, Las Vegas, NV 89101; Phone number - 702-486-3132; email: BCPINFO@ag.state.nv.us.</p>	
<p><b>For Vermont Residents.</b> In accordance with Vermont law, we will not share information we collect about Vermont residents with companies who are not affiliates, except as permitted by law, such as with your consent or to service your accounts. We will not share information about your creditworthiness with our affiliates without your authorization or consent, but we may share information about our transactions or experiences with you with our affiliates without your consent.</p>	
<p><b>For California Residents.</b> In accordance with California law, we will not share information we collect about you with nonaffiliates, except as allowed by law. For example, we may share information with your consent or to service your accounts. Among our affiliates, we will limit information sharing to the extent required by California law.</p>	
<p>TradeWise Advisors, Inc. and TD Ameritrade, Inc. are separate but affiliated firms. Advisory services are provided exclusively by TradeWise Advisors, Inc. and brokerage services are provided exclusively by TD Ameritrade, Inc.</p>	
<p>Custody, directed trustee, record keeping, plan design support, and plan administration are provided by TD Ameritrade Trust Company, a non-depository trust company. Brokerage services are provided by TD Ameritrade, Inc., member FINRA/SIPC. TD Ameritrade is a trademark jointly owned by TD Ameritrade IP Company and The Toronto-Dominion Bank. © 2020 Charles Schwab &amp; Co. Inc. All rights reserved. Member SIPC.</p>	